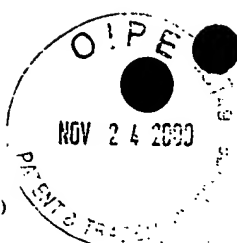


MRK
11/24/00

FORM PTO-1595
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
Docket No. CA990018US1



RECORD

12-01-2000
101534570

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ian R. Finlay
Douglas James Doole

2. Name and address of receiving party(ies):

International Business Machines Corporation
New Orchard Street
Armonk, NY 10504

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

COPY OF PAPERS
ORIGINALLY FILED

RECEIVED

AUG 02 2002

Execution Date: November 13, 2000

Technology Center 2100

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/628,599

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Charles Berman, Esq.
Address: OPPENHEIMER WOLFF & DONNELLY LLP
2029 Century Park East, 38th Floor
Los Angeles, CA 90067-3024

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our Deposit account number: 16-2230

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Spyros J. Lazaris

Name of Person Signing

Signature

November 20, 2000

Date

Total number of pages including cover sheet, attachments, and document: 3

Do not detach this portion

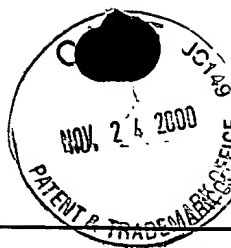
Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/628,599	07/28/2000	Ian R. Finlay	12780-1015

Attn Spyros James Lazaris
Oppenheimer Wolff & Donnelly LLP
Suite 3800
2029 Century Park East
Los Angeles, CA 90067

FORMALITIES LETTER



OC000000005420152

Date Mailed: 09/22/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/28/2000 MMARHOL 00000063 09628599

01 FC:105

130.00 OP



Section 3
\$

PATENT
Docket No. 12780-1015
IBM No. CA990018US1

I hereby certify that this correspondence and the attachments are being deposited with the United States Postal Service as First Class Mail, on November 20, 2000, addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

Joy Michaels
Joy Michaels

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of:

IAN R. FINLAY AND DOUGLAS JAMES DOOLE

Serial Number: 09/628,599

Filing Date: July 28, 2000

Entitled: **DIRECT CALL THREADED CODE**

Group Art Unit: 2771

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL
APPLICATION --FILING DATE GRANTED**

Sir:

Responsive to the "Notice to File Missing Parts of Nonprovisional Application – Filing Date Granted" mailed September 22, 2000 and having a shortened response period set to expire on November 22, 2000, Applicants are submitting herewith (1) a copy of that Notice; (2) a Declaration, Power of Attorney and Petition executed by Inventors; (3) an Assignment (with



PATENT
Docket No. 12780-1015
IBM No. CA990018US1

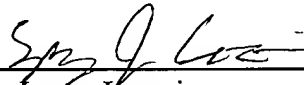
recordation cover sheet and filing fee of \$40.00); (4) a check in the amount of \$130.00 for the filing of this Response); and (5) a return postage paid post card.

In the event any variance exists between the amount attached and the Patent and Trademark Office charges, please charge or credit any variance to our Deposit Account No. 16-2230.

Accordingly, it is respectfully requested that the corrected official Filing Receipt be issued at an early date.

Respectfully requested,

Dated: November 20, 2000



Spyros James Lazaris
Registration No. 45,981

OPPENHEIMER WOLFF & DONNELLY LLP
2029 Century Park East, 38th Floor
Los Angeles, California 90067
Telephone: (310) 788-5000
Facsimile: (310) 788-5100